

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	PARTHASARATHY et al.)	Group Art Unit:	1751
Serial No.: Confirmation)	Examiner:	Unknown
Filed:	24 April 2001)		
For:	BIOLOGICAL SAMPLE PR			ID COMPOSITIONS

DOCUMENTS ACCOMPANYING INFORMATION DISCLOSURE STATEMENT FILED 28 FEBRUARY 2002

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	PARTHASARATHY et al.)	Group Art Unit:	1751	
)			
Serial No.:	09/841,264)	Examiner:	Unknown	
Confirmation	No.: 5359)			
)			
Filed:	24 April 2001)			
)			
For:	BIOLOGICAL SAMPLE PROCESSING METHODS AND COMPOSITIONS				
	THAT INCLUDE SURFAC	TANTS			

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington D.C. 20231

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 forms is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 forms, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicants also wish to bring the Examiner's attention to the following pending U.S. Applications, as well as any prior art and any provisional U.S. patent applications referenced therein. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

Applicant(s): PARTHASARATHY et

Serial No.: 09/841,264 Confirmation No.: 5359 Filed: 24 April 2001



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SURFACTANTS

List of Pending Non-Published U.S. Patent Applications

Applicant(s)	Application Number	Filing Date	Serial No. of Provisional Application to which listed Application claims priority
Unknown	09/710,184	11/10/00	•
Unknown	60/284,637	04/18/01	
Parthasarathy et al.	09/841,272	04/24/01	
Bedingham et al.	09/894,810	06/28/01	60/214,508
			60/214,642
			60/237,151
			60/260,063
			60/284.637
Harms et al.	09/895,001	06/28/01	60/214,508
Bedingham et al.	09/895,010	06/28/01	60/214,508
Pathasarathy et al.	10/027,222	12/20/01	
Pathasarathy et al.	10/027,226	12/20/01	

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

Information Disclosure Statement
Applicant(s): PARTHASARATHY et al.

Serial No.: 09/841,264

Confirmation No.: 5359 Filed: 24 April 2001



SURFACTANTS

The Examiner is invited to contact Applicants' Representatives at the belowlisted telephone number, if they can be of any assistance during prosecution of the present application.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 28th day of February, 2002.

February 28, 2001

Date

Respectfully submitted for

PARTHASASRATHY et al.

By

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INFORMATION DISCLOSURE STATEMENT

Atty. Docket No.: 56286US003Serial No.: 09/841.264Applicant(s): Parthasarathy et al.Confirmation No.: 5359Filing Date: 24 April 2001Group: 1751

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U.S. PATENT DOCUMENTS

Example Initial	EMARKO	Document Number	Date	Name Name	Class	Subclass	Filing Date If
		4,683,195	07/28/87	Mullis et al.			
		4,683,202	07/28/87	Mullis			
		4,889,818	12/26/89	Gelfand et al.			
		4,951,188	08/21/90	Peter et al.			
		4,965,188	10/23/90	Mullis et al.			
		5,079,352	01/07/92	Gelfand et al.			
		5,198,353	03/30/93	Hawkins et al.			
		5,310,652	05/10/94	Gelfand et al.			
		5,338,671	08/16/94	Scalice et al.			
		5,352,600	10/04/94	Gelfand et al.			
		5,374,553	12/20/94	Gelfand et al.			
		5,405,774	04/11/95	Abramson et al.			
		5,407,800	04/18/95	Gelfand et al.			
		5,455,170	10/03/95	Abramson et al.			
	•	5,466,591	11/14/95	Abramson et al.			
		5,561,058	10/01/96	Gelfand et al.			
		5,587,287	12/24/96	Scalice			
		5,618,703	04/08/97	Gelfand et al.			
		5,618,711	04/08/97	Gelfand et al.			
		5,641,864	06/24/97	Gelfand			
		5,642,833	07/01/97	Ring			
		5,674,738	10/07/97	Abramson et al.			
		5,693,517	12/02/97	Gelfand et al.			
		5,705,345	01/06/98	Lundin et al.			

EXAMINER	Date Considered

^{*}Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT

Atty. Docket No.: 56286US003 **Serial No.:** 09/841,264

Applicant(s): Parthasarathy et al. **Confirmation No.:** 5359

Filing Date: 24 April 2001 Group: 1751

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	5,721,123	02/24/98	Hayes et al.			
	5,789,224	08/04/98	Gelfand et al.			
	5,795,762	08/18/98	Abramson et al.			
	5,858,948	01/12/99	Ghosh et al.			
	5,861,295	01/19/99	Goldstein et al.			
	5,888,723	03/30/99	Sutton et al.			
	6,015,781	01/18/00	Vinson et al.			
	6,040,166	03/21/00	Erlich et al.			
	6,045,727	04/04/00	Akhavan-Tafti et al.			
	6,127,155	10/03/00	Gelfand et al.			
	6,242,235 B1	06/05/01	Shultz et al.			
	2001/0024793 A1	09/27/01	Goldstein et al.			

FOREIGN PATENT DOCUMENTS

Examiner	Document Number	Date	Country	Class	Subclass	Trans	lation
Initial						Yes	No
	EP 624 641 B1	11/17/94 ~	EPO				
	EP 776 970 A1 ~	06/04/97	ЕРО				
	WO 89/06691 A2	07/27/89	WIPO		:		
	WO 89/06691 Å3	07/27/89	WIPO				
	WO 92/16659 A1	10/01/92	WIPO				
	WO 96/41864 A1	12/27/96	WIPO				
	WO 99/51284 A2	10/14/99	WIPO				
	WO 99/51284 A3	10/14/99	WIPO				
	WO 99/67371 A1	12/29/99	WIPO				

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Atty. Docket No.: 56286US003	Serial No.: 09/841,264
Applicant(s): Parthasarathy et al.	Confirmation No.: 5359
Filing Date: 24 April 2001	Group: 1751

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Document Description
	"14 TH International Symposium on Microscale Separations and Analysis," California Separation Science Society, Boston Marriott Copley Place, Boston, MA; January 13-18, 2001 [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:> , 13 pgs.
	Almaraz, "Micro-Scale Capillary Electrophoresis," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 1 of 3, abstract, [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
	Barta et al., "New Advances in Sample Preparation in Integrated Microfabricated Device Technology," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 1 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001results.asp?title="&author=&Sestype=No+Preference&SessCode=P03&institute" http:="" sapfolder="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
	Crabtree et al., "Microchip Injection and Separation Anomalies Due to Pressure Effects," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, pages 2-3 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>

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Examiner Initial	EE .	Document Description
		Chen et al., "Plastic Microchip Electrophoresis for Genetic Screening-The Analysis of PCR Products of Fragile X(CGG)N Alleles," presented at the Mchipbased Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, pages 2-3 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
		Dunsmoor et al., "Acousto-Optical Tunable Filter for Mulicolor Detection of DNA Fragments on an Electrophoresis Microchip for Forensic Identification," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, pages 1-2 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
		Giordano et al., "Infrared-Mediated Thermocycling Coupled to Microchip Electrophoresis: A Rapid DNA Amplification and Separation System," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 2 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001results.asp?title="&author=&SessType=No+Preference&SessCode=P03&institute" http:="" sapfolder="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
		Hibara et al., "Interface Microchip For Ultrasensitive Thermal Lens Detection of Capillary Electrophoresis," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 1 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>

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Atty. Docket No.: 56286US003	Serial No.: 09/841,264
Applicant(s): Parthasarathy et al.	Confirmation No.: 5359
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Examiner Initial	The state of the s	Document Description
Tillitia		Jin et al., "A Simple Method for Laser-Induced Fluorescence (LIF) Detection of SDS-Protein Complexes on Microchips Without Covalent Derivatization," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 2 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
		Jin et al., "Post-Column Reaction and Chemiluminescence Detection of Microfabricated Chips and Chip-Based Immunoassay," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, pages 1-2 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001results.asp?title="&author=&SestType=No+Preference&SessCode=P03&institute" http:="" sapfolder="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
	,	Kim et al., "Microfabrication of polydimethylsiloxane electrospray ionization emitters," <i>Journal of Chromatography A</i> , 2001, <i>Vol. 924</i> , pgs. 137-145.
		Neill et al., "On-Chip Definition of Picolitre Sample Injection Plugs for Miniaturised Liquid Chromatography," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 3 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>

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Examiner Initial	- 	Document Description
	``	Petersen et al., "A Microfabricated Separation Chip with Integrated Waveguidelines for In-Plane Optical Detection," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, pages 1-2 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
	•	Sambrook, <i>Molecular Cloning A Laboratory Manual</i> , Cold Spring Harbor, New York, 1989; cover page, title page, and table of contents: 30 pgs.
	`	Sanders et al., "Isoelectric Focusing of Proteins Using Acousto-Optical Deflection Scanning and LIF Detection," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 3 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001results.asp?title="&author=&SesType=No+Preference&SessCode=P03&institute" http:="" sapfolder="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
		Slyadnev et al., "Ultrafast Temperature Control in a Microchannel by Laser-Induced Photothermal Effect," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 3 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
	(Strein et al., "Recent Advances with Electrochemical Detection for Microfabricated Chips," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 1 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>

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Examiner Initial	 Document Description
	Surmeian et al., "Formation of Liquid Membrane in Flowing Micro Channel for Study on Molecular Transport," presented at the Mchip-based Analysis A session, 14 ^{1H} International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, pages 1-2 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
	Wakida et al., "High Throughput Characterization of Organic Pollutants in Waters Using CE-Chip," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 2 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
	Wolfe et al., "Exploring DNA Extraction in a Microminaturized Platform," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, pages 2-3 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001results.asp?title="&author=&SestType=No+Preference&SessCode=P03&institute" http:="" sapfolder="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url: hpce2001="" www.casss.org=""></url:>.</url:>
	Zhang, "Novel Injectors for Capillary Electrophoresis on Microchips," presented at the Mchip-based Analysis A session, 14 TH International Symposium on Microscale Separations and Analysis, Boston Marriott Copley Place, Boston, MA, page 1 of 3, abstract [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url; aspfolder="" hpce2001.results.asp="" www.inmerge.com="">; and page 1 of 1, symposium cover sheet (January 13-18, 2001) [online] [retrieved on 2001-04-03]; Retrieved from the Internet: <url; hpce2001="" www.casss.org=""></url;>.</url;>

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